**Pain relieving interventions for retinopathy of prematurity: A Meta-analysis**

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**Key Points (75-100 words)**

**Question:** What is the most effective pain-relieving treatment for retinopathy of prematurity eye exams in preterm neonates?

**Findings:** This review found that treatments that combine a topical anesthetic with sweet taste and other multisensory interventions (e.g., non-nutritive sucking) were the most likely to be optimal (mean difference vs topical anesthetic alone: -5.94 points on the premature infant pain profile, a significant difference). No treatments appeared effective in absolute terms.

**Meaning:** Combined treatments are likely to offer the best pain relief. Future research should focus on identifying novel approaches to pain relief that make larger differences in absolute scores.

**Abstract**

**Importance:** Despite substantial research effort to identify effective interventions to reduce pain associated with retinopathy of prematurity eye exams in preterm infants, there has been no systematic review that compares all available interventions.

**Evidence Review:** Systematic review and network meta-analysis MEDLINE, Embase, Cochrane CENTRAL, Web of Science, and the WHO ICTRP (2004-Feb 2017). Interventions intended to provide pain relief that could involve pharmacological, non-pharmacological, multisensory, or procedural modifications (e.g., the use of wide-field digital retina imaging) were included. Abstract and title screen, full-text screening, and data extraction were conducted independently by two reviewers. The primary outcome was pain during the exam period (pain reactivity) with pain following the exam (pain regulation) and adverse events as secondary outcomes.

**Results:** Twenty-nine studies (N = 1487) were eligible for inclusion. Interventions combining a topical anesthetic with sweet taste and an adjunct intervention (e.g. non-nutritive sucking) had the highest probability of being the optimal treatment, but differences between the top two treatments were small (Sweet taste multisensory + topical anesthetic vs. Sweet taste + topical anesthetic mean difference = -0.21; 95% credible interval -2.97 to 2.49). Heterogeneity was moderate in the pain reactivity phase and large in the regulation phase. Secondary outcomes were sparsely reported (2-4 studies, N = 90-248) but generally supported sweet tasting solutions with or without additional adjunct interventions as optimal.

**Conclusions and relevance:** While the benefit is small, the addition of a multisensory intervention to sweet taste and topical anesthetic agents is likely the optimal treatment for reducing pain from eye exams in preterm infants compared to all other interventions. No interventions were effective in absolute terms, with the majority of babies expected to experience moderate to severe pain regardless of intervention. Future research should focus on identifying novel strategies including assessment of whether the eye assessment procedure can be modified without compromising diagnostic accuracy.

**Background**

Retinopathy of prematurity (RoP) is a potentially serious disease that arises from the immature vasculature of the preterm retina1 which if left untreated can result in blindness. Current guidelines recommend that infants born less than 30 weeks receive eye serial eye exams (sometimes as often as weekly) until their retina reach maturity1. This procedure is widely recognized as being painful, with neonates showing both immediate pain behaviors and prolonged physiological arousal2. RoP examinations are one of many medically indicated painful procedures that preterm neonates endure, with an average exposure of up to 12 procedures per day during hospitalization in the Neonatal intensive care unit (NICU)3 . This high pain exposure has been associated with numerous short and long-term sequelae including altered cortical development and changes in response to later pain4,5. Thus, determining optimal ways to reduce pain associated with painful medical procedures is of outmost importance with the aim to ensure optimal outcomes for these vulnerable newborns.

Methods to reduce the pain associated with RoP eye examination include pharmacological, non-pharmacological, and procedural modification interventions2. The plurality of approaches makes a direct comparison of all interventions unfeasible without a large multi-center trial. As a result, despite the topic being the subject of at least three recent reviews2,6,7, it has not been possible to provide a statistically derived estimate of the most effective treatment. The purpose of this systematic review will be to combine all existing randomized trials of pain-relieving interventions for RoP exams using network meta-analysis to allow for comparison of direct and indirect evidence.

**Methods**

**Study Design**

Systematic review with Bayesian network meta-analysis. A pre-specified protocol was followed (PROSPERO 2017: CRD42017058231) (Supplementary appendix 4).

**Search Strategy and Selection Criteria**

A database search was conducted in July 2017. The search strategy was developed in partnership with a library professional and included searches of the Cochrane Library Central Registry of Controlled Trials (1966-present), MEDLINE (1946-present), Embase (1974-present), and Web of Science (1900-present) (See Supplementary appendix 1 for MEDLINE strategy). Eligible trials designs included randomized clinical trials comparing at least two pain-relieving strategies for ROP eye exams conducted in preterm neonates. Preterm infants were defined as those delivered less than 37 weeks gestational age.

**Study Selection and Data Extraction**

Parallel-group and cross-over designs were included. Eligible interventions included those that were intended to provide pain relief and could include pharmacological (e.g., sucrose), non-pharmacological (e.g., non-nutritive sucking), combined interventions, or procedural modifications.

Abstract and title screen, full-text screening, and data extraction were conducted independently by two reviewers using Covidence8. All conflicts were resolved by reviewers and, if necessary, consultation with a third reviewer. Data were extracted using standardized forms.

**Primary Outcome**

The primary outcome is pain as measured by validated pain assessment tools during the first time point measured during the procedure. All tools were converted to a common scale (the premature infant pain profile (PIPP))9,10. The PIPP was selected as it is the most frequently used tool to measure pain related to RoP eye examination. Following the approach outlined in Pillai Riddell et al.’s11 Cochrane review of non-pharmacologic pain relieving interventions in neonates, we selected one time point measured during the procedure (pain reactivity), and the first time point after completion of the procedure (pain regulation).

**Secondary outcomes**

Secondary outcomes included pain assessment scales during the regulation phase, physiological response (e.g. heart rate), and adverse events during reactivity and recovery and cry time during the reactivity phase. When multiple adverse events were reported, the most serious were used for meta-analysis.

**Quality Assessment – Risk of Bias**

Critical appraisal was conducted using the Cochrane risk of bias tool for randomized controlled trials12. Two reviewers assessed each study, with conflicts resolved through consultation or, if required, consultation with a third reviewer. We intended to use funnel plots to investigate signs of publication bias, although no comparisons had sufficient studies12.

**Statistical Analysis**

Relevant clinical and study design characteristics were compared between eligible trials to assess acceptability to synthesis. These included infant postmenstrual age at the time of the procedure, birth weight, use of a speculum and scleral depression during the procedure, and infant positioning (e.g., swaddled or contained). Network structure was explored through the use of network diagrams. Pairwise and network meta-analysis was conducted using the gemtc13 package in R14. Models properly account for correlation in multi-arm trials, use a single heterogeneity parameter for the entire network, and place vague priors on all parameters15. Model fit was assessed through comparison of residual deviance to the number of unconstrained data points, and deviance information criteria. Fit for meta-regressions were assessed through these characteristics in addition to whether the 95% credible interval (CrI) of the regression coefficient excludes zero16. All analyses were run on four chains with 20,000 iterations a chain including a burn-in period of 5000 runs. Convergence was monitored using the Brooks-Gelman-Rubin diagnostic, with values less than 1.05 considered acceptable if consistent with visual inspection of convergence and time series plots15,17. Standard errors for cross-over trials were adjusted by converting paired t-tests to standard error18. Results of continuous outcomes were expressed in mean difference and accompanied with their 95% credible intervals (CrI). Adverse events were expressed as odds ratios (ORs). The surface under the cumulative ranking curve (SUCRA) was used calculated to express the probability that a treatment is optimal19. Results of the largest trial were used to estimate the absolute PIPP reactivity score, and this value was used to convert mean differences to absolute scores for the top three treatments15. Mean absolute scores were used to calculate the number of infants expected with scores indicating low, moderate, and severe pain assuming pain scores are normally distributed.

Heterogeneity was assessed through the standard deviation of the random effect distribution. Assessment of inconsistency within the network (e.g., agreement between direct and indirect evidence) was conducted through the use of a node-splitting model20. Meta-regressions were conducted if potential effect modifiers (e.g., post-menstrual age at the time of the procedure, the risk of bias) showed evidence of variability between studies in addition to showing evidence of interaction with treatment effect. Sensitivity analyses were conducted to test key assumptions related to synthesis feasibility and included use of imputed mean, exclusion of published posters, and exclusion of studies that appear to contribute to inconsistency.

**Results**

**Search results**

The database search returned 831 citations after removal of duplicates, of which 29 studies met all inclusion criteria (N = 1487) (Supplementary figure 3.1).

**Study characteristics**

Twenty-three studies were parallel randomized controlled trials21–42, with six studies43–48 randomized crossover trials. Based on consultation with clinicians, interventions were grouped based on the hypothesized underlying mechanism of action (Supplementary table 2.1). Studies were similar in infant and procedure characteristics (e.g., use of speculum) (Supplementary table 2.2).

**Risk of bias within studies**

Studies assessing interventions that were easily blinded (e.g., sweet taste, oral acetaminophen) were considered to be an overall low risk of bias (Supplementary figure 3.2). Details of sequence generation and allocation concealment were unclear in most studies.

**Publication Bias**

We identified several trial registries indicating trials that are or should realistically be complete without an identifiable publication of results in abstract or manuscript form49–54. One of these was a trial assessing the efficacy of acetaminophen which was stopped early because the intervention showed no effect51. None of the authors responded to e-mails.

**Primary outcome**

Twenty studies (n = 1228) reported results of a validated pain assessment scale during the pain reactivity phase (Fig 1). Two studies were excluded from primary analysis (Supplementary table 2.3). Signs of inconsistency were detected in the non-nutritive sucking node which appeared to arise from a single trial21 that was considered high risk of bias (Supplementary table 2.4). With this trial excluded, signs of inconsistency resolved and model fit improved, thus remaining regressions and sensitivity analyses were conducted with this trial excluded (Supplementary table 2.5 and Supplementary figures 3.2, and 3.3). Removal of studies with imputed means resulted in the best model fit, although treatment rankings were similar across all sensitivity analyses (Supplementary figure 3.4). Relative (Figure 2) and absolute (Figure 3) scores based on the best fitting model suggest small differences between the top treatments (probability of at least 2 point difference = 12.8%), with no interventions lowering mean absolute scores to ranges on the PIPP associated with low or no pain (probability that absolute score is less than six less than 1%).

**Secondary outcomes**

**Pain assessment scales during regulation phase.** Twelve studies assessing eleven interventions (n = 693) were included in the analysis. Meta-regression and sensitivity analyses were unsuccessful in making robust associations between treatment effects and study characteristics (Supplementary figure 3.6) resulting in wide credible intervals (Supplementary figure 3.7). Direction and magnitude of effect did not meaningfully change for any model that was a good fit for the data. Combined treatments had a higher probability of being optimal based on SUCRA (Figure 4). Node-splitting models did not detect inconsistency, and manual review of plots did not indicate systematic disagreements between direct and indirect evidence (Supplementary figure 3.8).

**Heart rate.** Five studies (n = 381) reported heart rate during the reactivity phase, but three were excluded and the remaining studies did not form a connected network (Supplementary table 2.3). Xin42 reported that sweet taste combined with topical anesthetic was superior to topical anesthetic alone (MD = -23.7bpm, p < 0.01), and Senar Taplak and Erdem38 found no statistically significant difference between non-nutritive sucking (NNS), sweet taste + NNS, or expressed breast milk (EBM) multisensory with topical anesthetic, although mean results favoured NNS alone. Three studies (N = 173) reported heart rate during the regulation phase, but one47 was excluded from meta-analysis (Supplementary table 2.3) for missing variance info. Relative effects are wide, 95% credible intervals include zero (Supplementary figure 3.9) and sweet taste in addition to topical anesthetic has the highest SUCRA ranking (Figure 4). There were no closed loops for assessment of inconsistency.

**Oxygen saturation.** Nine studies (N = 595) reported oxygen saturation in the reactivity phase, but six were excluded from analysis or analyzed with adverse events (Supplementary table 2.3). Sweet taste combined with topical anesthetic ranked highest (Figure 4) with narrow confidence intervals despite limited evidence (Supplementary figure 3.10). Five studies (N = 243) reported oxygen saturation in the recovery phase, but four were excluded or analyzed with adverse events (Supplementary table 2.3). Results from the remaining study45 found identical mean oxygen saturation for infants treated with topical anesthetic alone compared with topical anesthetic and sweet taste. There were no closed loops for assessment of inconsistency.

**Crying time.**  Eight studies (N = 421) assessed crying time as an outcome. Four30,33,34,47 were excluded from synthesis (Supplementary table 2.3). Strube, Bakal, and Arthur34 found that feeding infants one hour prior to their eye exam reduced cry time compared to feeding two hours prior (MD = 19%, p = 0.016). Mehta et al47 found no infants cried during the procedure when a speculum was not used, compared to two when one was used and one when wide-field digital retina imaging was used but conducted no statistical tests. Sweet taste multisensory combined with topical anesthetic was ranked as the best treatment (Figure 4), although credible intervals for the mean difference were large and included zero in most comparisons (Supplementary figure 3.11).

**Adverse events**. Four studies (N = 268) reported one or more adverse events during the reactivity phase (Supplementary table 2.6). Two studies24,31 could not be included in meta-analysis since treatments did not connect to the network. Dilli23 found no difference in the rate of bradycardia between NNS with topical anesthetic and Sweet multisensory with a topical anesthetic (Supplementary table 2.3). O’Sullivan found a non-statistically significant difference favoring Sweet taste multisensory in the same comparison. In the NMA results, sweet taste with topical anesthetic had the highest SUCRA, but relative effects against active treatments were wide (Supplementary figure 3.12). Longer-term adverse events were assessed in three studies27,44,46 (N = 130) with one excluded27 in the final analysis because it did not report outcomes in a way that could be synthesized (Supplementary table 2.3). SUCRA ranking favoured no treatment (Figure 4), although relative differences between top treatments were small (Supplementary figure 3.13) with wide credible intervals.

**Discussion**

While most comparisons failed to reach statistical significance, Bayesian results support the hypothesis that engaging more sensory systems likely results in improved pain relief. Rankings were generally robust to sensitivity analyses, which provides some degree of confidence in their findings although all results should be interpreted with caution.

Results must be interpreted within the limitations related to moderate heterogeneity in pain assessment reactivity phase and severe heterogeneity in the regulation phase. Investigation of potential sources of heterogeneity was limited by incomplete procedure reporting. While these factors may explain some additional heterogeneity, it should be noted that this appears to be a consistent problem faced in a meta-analysis of pain-relieving interventions in neonates. Two recently updated Cochrane systematic reviews assessed nonpharmacologic11 and skin-to-skin contact55 as interventions for reducing pain associated with commonly performed painful procedures in preterm and term neonates. In both cases, moderate the high heterogeneity was a commonly cited reason for downgrading the level of evidence from combined analysis. No reviews have had success in identifying explanations for this heterogeneity, and thus it is unclear whether it is the result of methodological or clinical heterogeneity.

Absolute scores suggest that no pain treatment is effective in absolute terms (i.e. 62% of trial arms had mean scores greater than 12). These scores are placed in comparison to the same interventions used to reduce pain from vaccination, heel lance, or venepuncture where scores in intervention groups are routinely lying within four and six points on the same scale11,55–57. Future research should thus consider whether new trials comparing these interventions are of value when compared to identifying procedure modifications or new treatments that result in more reasonable absolute scores. Of trials included in this review, the lowest absolute scores were observed in a unit that does not use a speculum30, and the only study that assessed the effect of speculum on pain found evidence to support avoiding its use47. We are not the first to suggest that excluding the routine use of eye speculum should be considered47,58, and it would appear that the lack of uptake of earlier suggestions may be the result of fear of missed diagnoses59. Practice change in this direction will thus likely require larger trials establishing its ability to reduce pain when the most effective pain relieving interventions are implemented in addition to an assessment of the potential consequences for sensitivity and specificity of diagnosis likelihood. Others have suggested that persistently high raw pain scores suggest that stronger analgesics should be investigated (e.g., opiates)60. One ongoing clinical trial will investigate the use of morphine for pain reduction during an eye exam and use the PIPP and EEG to assess pain60.

**Conclusions**

Despite limitations, there are consistent trends suggesting that the addition of multisensory pain-reducing interventions with topical aesthetic results in an improved reduction in pain response to eye exams in preterm infant. Given the less than the optimal efficacy of current treatments, future research investigating novel approaches to reduce pain associated with eye exams in preterm infants is imperative.

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**Figure Legends**

**Figure 1. Network graphs for pain reactivity**. Nodes indicate treatments and edges indicate comparisons. Size of nodes reflects total sample assessed in a treatment, width of edges indicates number of studies in a comparison. TA = Topical anesthetic; WFDRI = Wide-field digital retina imaging; NNS = Non-nutritive sucking; EBM = Expressed breast milk

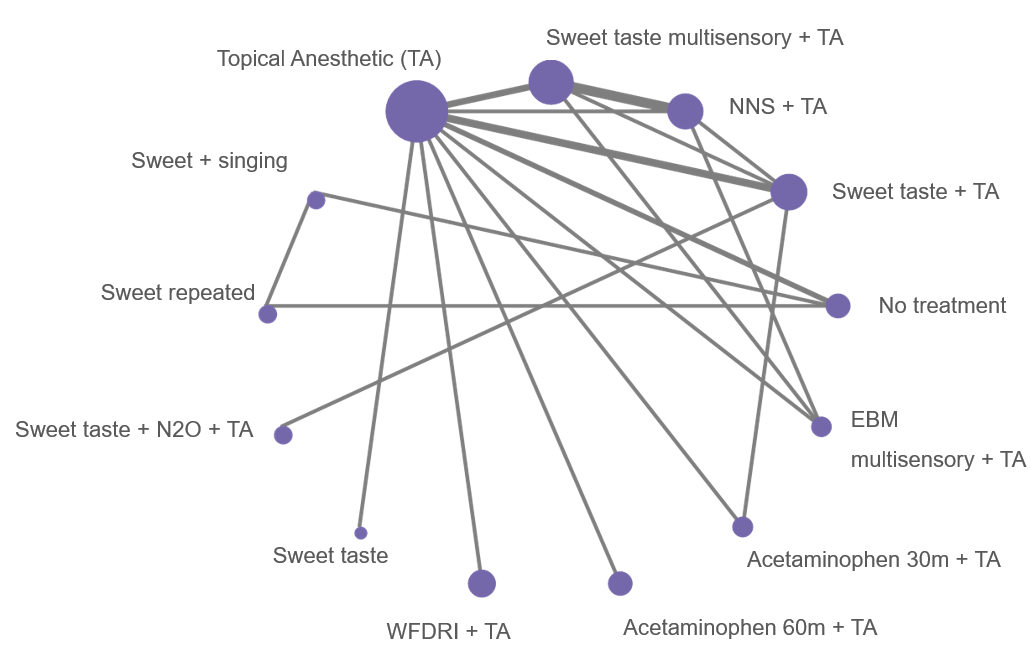


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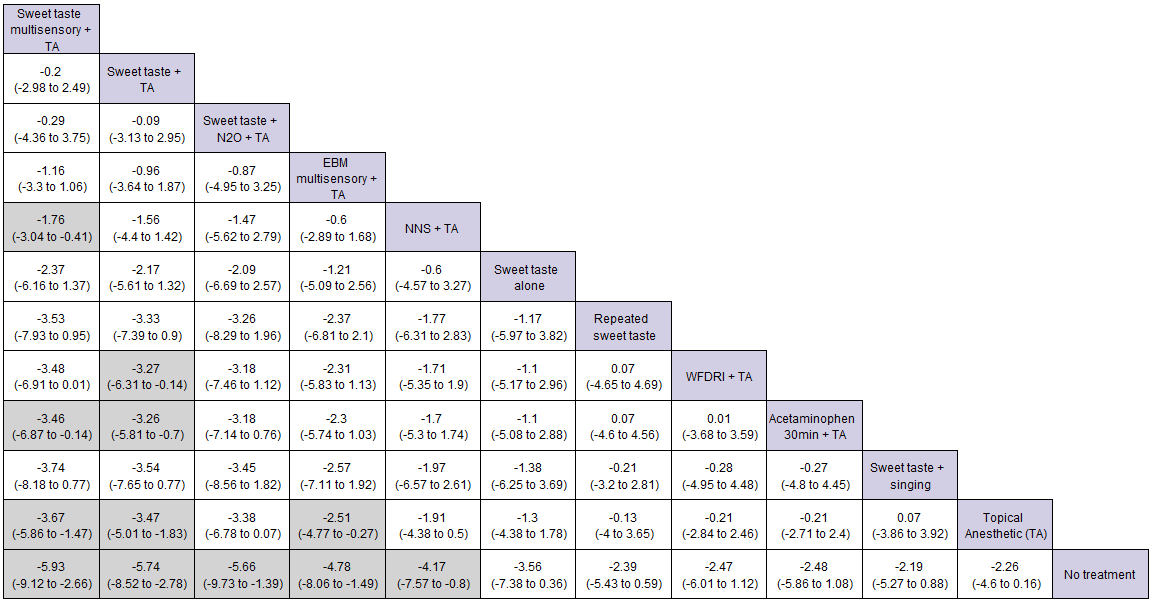


Figure 2. Pain reactivity league table of NMA estimates. Treatments are reported in order of SUCRA ranking using a random effects model. Comparisons should be read left to right with mean differences of less than 0 indicating the treatment in the column is favoured over the treatment in the row. TA = topical anesthetic; NNS = non-nutritive sucking. Shaded cells indicate 95% Credible Intervals exclude zero.



Figure 3. Absolute PIPP scores based on largest low risk of bias trial as baseline (Topical anesthetic). Thermometer indicates absolute score with shading for low (green), moderate (yellow) and severe (red) pain. Solid and dashed line indicates median score with 95% credible interval. Colour of icons indicates the number of neonates expected at each pain threshold using the median score and assuming PIPP scores are normally distributed.



Figure 4. Analyses for all outcome based on SUCRA. Higher scores indicate increased probability that treatment is among the top treatments. SUCRA of 100% indicates treatment is always best, 0% indicates treatment is always worst. NA indicates no information for a treatment. nStud = number of studies; N = total sample size; Type = fixed or random effect model; pDr = Ratio of residual deviance to unconstrained data points; SD = between-trial heterogeneity in units on the PIPP; MR = meta-regression.